1 Study protocol (Version 1.2, January 2019):

2	Impact of Cervical Pessary Treatment for Prevention of Preterm Birth in Twin Pregnancies
3	with Cervical Shortening on children`s Long-Term Survival without Neurodevelopmental
4	Disability: THE IMPETUS TRIAL
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69	Abstract:

70 Background: Spontaneous preterm birth (SPB) is the most important cause for perinatal morbidity 71 and mortality. Since women with a twin pregnancy are at increased risk of preterm delivery, 72 interventions to prevent preterm birth are urgently needed.

Methods: This is a randomized controlled trial to investigate the effectiveness of cervical pessary in women with a twin pregnancy and shortened cervix on the short- and long-term neonatal outcome. The effectiveness of the cervical pessary treatment will be assessed at three different stages of the second trimester (16-20 (early) vs. 20-24 (middle) vs. 24-28 (late) weeks of gestation).

Women eligible for the study will undergo serial cervical length measurements and will be randomized and allocated to either pessary treatment or expectant management as soon as the cervical length is shortened below the 25th percentile of the reference values. The pessary will be left in situ until 37 completed weeks or earlier if necessary.

Primary outcome is the children's long-term survival without neurodevelopmental disability at 3 years of corrected age. A key safety endpoint will include the composite neonatal outcome as well as preterm birth before 34 weeks. Secondary outcomes focus on the short-term outcome of mother and newborns. We will include 672 women in parallel groups (pessary vs. control group) each using 3 strata according to week of gestation. This will allow us to demonstrate or refute a 18.6% higher survival rate of children without neurodevelopmental disability at the age of 3 in the pessary-group (Cochran-Mantel-Haenszel test, alpha-error 5%, 80% power).

Discussion: We hope to confirm the results of the ProTwin*Kids*-Trial, which demonstrated a 18.6% higher survival rate of children without neurodevelopmental disability at the age of 3 in the pessarygroup. We postulate that the survival without neurodevelopmental disability depends on the onset of cervical shortening and its treatment with a cervical pessary, respectively. This project is summarizing registered RCTs in twin pregnancies all using CORE³ outcome parameters.

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94 **Trial Registration:** ClinicalTrials.gov Identifier: NCT03418311. Registered on 23rd January 2018.

95 Keywords: Preterm Birth, Twin, Cervical length, Arabin Cervical Pessary, Children's Long-Term

96 Outcome

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117 Background

118 Background and rational:

Preterm birth (PTB) complicates 13% of all pregnancies worldwide and is the most important cause of neonatal morbidity and mortality¹. Women with a twin pregnancy are at increased risk of preterm delivery. Approximately 50% of women with a multiple pregnancy deliver before 37 weeks of gestation (WoG), of whom 9% deliver before 32 weeks². The prevalence for multiple pregnancies is constantly increasing and reached 1.85% in 2015 in Germany³.

Although disability-free survival rates have increased over the years as a result of improved facilities and treatments, preterm birth is still accountable for 75% of all perinatal deaths and >50% of morbidities^{4,5}. Morbidity and mortality are inversely related to gestational age; of those infants born <30 weeks of gestation, only 25% are free of disabilities at the age of five years ^{5, 6} whereas only 8% of children born at 32 weeks of gestation have a risk for neurodevelopmental delay⁷. However, late preterm (> 32 WoG) neonates still have a 10-fold increased mortality risk as compared to neonates born at term⁸.

131 Apart from the personal tragedies for those involved, sPTB generates immense healthcare costs⁹: 132 The overall health care costs for premature babies differ dramatically by week of gestation at birth: 133 after their first year of life the total health care costs summed up to 74.000 € for early premature 134 (<28 WoG), to 8.565 € for late premature (28 WoG – 37 WoG) and to 1.590 € for full-term born 135 children¹⁰ in Germany. Evidence based treatment guidelines concerning prevention of sPTB are not available in Europe. Expectant management is still usual care¹¹ with interventions only in terms of a 136 137 tertiary prevention of sPTB according to guidelines for premature rupture of membranes, premature 138 labour or other pregnancy complications¹². A short cervix (<25 mm) is associated with early and 139 very early preterm birth in twins and 15% of women with twin gestations have a cervix ≤ 25 mm¹³. Therapeutic options like vaginal progesterone¹⁴ and cervical cerclage¹⁵ have been shown to be 140 141 ineffective in reducing sPTB in twin pregnancies.

Cervical pessary treatment is a non-invasive, well-tolerated and cost-effective treatment option¹⁶ for
 the prevention of sPTB. The available clinical trials for cervical pessary treatment in twin

- 144 pregnancies with a shortened cervix reflect contradicting results: the ProTwin¹⁷ and PECEP-Twin
- 145 trial¹⁸ (N=267) demonstrated a significant reduction of sPTB. The clinical trial of Nicolaides et al.
- ¹⁹(N=214), however, reported no significant effect.
- 147 In view of these conflicting results, the Impetus trial is needed to establish evidence based
- 148 therapeutic guidelines for the prevention of sPTB in women with a twin pregnancy with a shortened
- 149 cervix. The study will assess the effectiveness of pessary placement as soon as the cervical
- 150 shortening occurs. The Impetus Trial is a multicentre, open-label, randomised, controlled trial in
- 151 parallel groups; it might be considered as part of the prospective meta-analysis conducted by the
- 152 Global Obstetrics Network (Go-Net)²⁰.
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155 Methods

156 Study setting

157 This is a multicenter study to be conducted in 6 hospitals in Germany and in 2 hospitals in Greece.

158 All hospitals are academic hospitals providing an obstetrical department along with a tertiary

- 159 perinatal unit.
- 160 Participating centres in Greece are: Medical School of the Aristotle-University of Thessaloniki,
- 161 University Hospital of Athens and in Germany: Vivantes Klinikum im Friedrichshain Berlin, Charité-
- 162 Universitätsmedizin Berlin, Asklepios Kliniken Hamburg, Universitätsklinikum des Saarlandes
- 163 Homburg, Universitätsfrauenklinik und Poliklinik am Klinikum Südstadt Rostock, Klinikum
- 164 Darmstadt, Klinikum rechts der Isar Technische Universität München. All participating centres will
- 165 file their own EC approval.
- 166 The trial was registered on January 23rd in 2018 in ClinicalTrials.gov
- 167 (https://clinicaltrials.gov/ct2/results?cond=&term=NCT03418311&cntry=&state=&city=&dist=); the
- trial number is NCT03418311.
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171 Eligibility criteria:

172 Women presenting with a diamniotic twin pregnancy in week of gestation (WoG) 16 till 28 and a 173 shortened cervix below the 25th percentile²¹ are eligible for the study. Gestational age will be 174 determined through menstrual history and first trimesters scan, cervical length (CL) will be 175 measured by a transvaginal ultrasonography scan (US) according to a standard technique²². The 176 measurements will be performed by experienced and trained maternal-fetal specialists. 177 Women with monoamniotic pregnancies or pregnancies complicated by suspected twin-to-twin 178 transfusion syndrome, intrauterine death of one twin, major congenital abnormalities and uterine 179 malformations will be excluded from the study. Women with active vaginal bleeding, spontaneous 180 rupture of membranes, painful regular uterine contractions or a cervical cerclage in situ at the time 181 of randomization will also be excluded. Silicone allergy and a current participation in another RCT 182 are exclusion criteria as well. Women have to be older than 18 years and capable of giving informed 183 consent.

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185 Maintenance of the randomisation codes and test procedures of the trial.

186 Every participating centre will have its own randomisation list.

We have created a database in a website so it can be accessed worldwide, that every hospital will be able to randomize their patients. Every center will receive a password and a username in order to access the database for recruitment, randomization and documentation of patient data. Hereby, a personal identification number will be assigned for every patient. Patient names will not appear in the databases.

This database will be supervised and coordinated by Dr. Ioannis Kyvernitakis, Bürgerhospital
Frankfurt/M. (Webmaster).

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195 Identification of data to be collected in the case report files (CRF) that should be considered 196 as data source.

Data will be collected in E-CRF, provided by >Dr. Olaf Hars Wissenschaft, Berlin< on study software
"Castor".

199 Definition of what is considered to be the end of the study.

The study will be finished after the 3 year-follow up examination concerning neurodevelopmental disabilities, which will be conducted on the surviving children of the participating patients.

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203 Interventions:

Pessary-Group-participants will receive management as usual except for placement of the cervical pessary (non-invasive) at enrolment including a transvaginal ultrasound to verify its correct fit and removal of the cervical pessary (non-invasive) in a regular preventive examination at WoG 37. The Arabin cervical pessary will be used in this trial; it is CE-certified for preventing SPB (CE 0482 / EN ISO 13485: 2012 + AC: 2012 annex III of the council directive 93/42 EEC)²³. Serial measurements of the cervical length should be performed every 4 weeks for all patients until removal of the pessary.

Further surveillance of the pregnancy will not be influenced by the participation in the study.

212 Women in the control group will receive management as usual; i.e. expectant management with

213 interventions only in terms of a tertiary prevention of PTB according to guidelines for premature

214 rupture of membranes, premature labour or other pregnancy complications¹¹. As therapeutic options

215 like vaginal progesterone¹⁴ and cervical cerclage¹⁵ have been shown to be ineffective in reducing

sPTB in twin pregnancies no concomitant drug theray is permitted.

217

218 Outcomes

The primary outcome will be survival of the children without neurodevelopmental disability at 3 years of corrected age: neurodevelopmental disability is a common problem regarding preterm new-borns. As such, the core outcome of the Impetus trial is to assess not only the survival but also the neurological status of the children as implemented by the CROWN Initiative²⁴. This outcome measure focuses on whether the surviving children will be impaired by a mental disability in life or not; the exact potential disability is not object of the study. Furthermore, a key safety endpoint will be preterm birth before 32+0 weeks as well as composite neonatal outcome. 226 Secondary outcomes will be related to the pregnancy, delivery and the short-term outcome of the

227 neonates. The outcome measures for pregnancy and delivery will be: hospitalisation for threatened

228 preterm labour (days hospitalisation, tocolytic treatment (type, days, dose); premature rupture of

229 membranes (PROM); infection / inflammation; physical or psychological intolerance to pessary; rate

230 of significant maternal adverse events (heavy bleeding, cervical tear due to pessary placement,

231 uterine rupture); maternal death; preterm birth as rate of delivery before weeks of gestation: 36+6 /

232 33+6 / 31+6 / 29+6 / 27+6; time to birth; birth weight (g).

As short-term neonatal outcome will be assessed: fetal or neonatal death within the first 24 hours;

neonatal morbidity as rate of major adverse neonatal outcomes before discharge from the hospital

235 (intraventricular haemorrhage III-IV, retinopathy of prematurity, respiratory distress syndrome II-IV,

236 need for ventilation > 72 h, necrotising enterocolitis, proven or suspected sepsis (antibiotics >5

237 days), need (days) for neonatal special care, harm from intervention.

238 Our outcome measures meet the core-outcome set for the evaluation of interventions to prevent

239 PTB published by the crown-initiative in 2016²⁴.

240

241 Participant timelines

242 Fig 1

243 We expect patient recruitment to take approximately 2 years. The study duration of the entire trial is

estimated to be 68 months, whereas the intervention duration for the clinical trial will take

approximately 29 months and the intervention duration per patient max. 25 weeks.

246

247 Sample size

For sample size calculation, we account for the stratified design and assume three equally large gestation groups. For the pessary group, we assume a combined event rate of at least 8% for the primary outcome and for the comparison of the pessary group with the control group we assume an odds ratio of 2.29. This odds ratio corresponds to the lower bound of a one-sided confidence interval for the event rate given in ProTwin*Kids* Trial²⁵. To reach a power of at least 80% for a Cochran-Mantel-Haenszel test for the primary outcome, we have to evaluate at least 500 patients, 250 in the pessary group and 250 in the control group. To account for a dropout rate of 25%, overall
 n=672 pregnant women will be recruited.

The reported response rate of the ProTwin*Kids*²⁵ study served as a basis for the assumed dropout rate of 25 % for the primary outcome measure >children`s survival without neurodevelopmental disability at the age of 3<. For both studies, the follow-up time was 3 years.

259

260 **Recruitment**

261 The obstetrics departments of the participating centres will counsel women with a twin pregnancy

and refer them for the regular preventive ultrasound examination from 16 weeks onwards or due to

a suspected cervical incompetence.

The obstetrical specialist will confirm that the patient fulfils the inclusion criteria and the study will be proposed. The patients will be informed about the intended therapeutic effect and possible side effects. The patient will be given an information sheet and the requisite time to reflect on participation. In case of participation and after having obtained their informed consent, they will be randomised in two groups either control group with usual management or pessary group with immediate placement of the cervical pessary. We expect patient recruitment to take approximately 2 years (Figure 1).

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272 Randomization and masking

273 After providing written informed consent all women will be randomly allocated to the cervical 274 pessary group or the control-group in a 1:1 ratio. The randomization sequence is computer 275 generated with variable block sizes using a web-based e-CRF (Online-Software Castor²⁶ is a fully 276 GCP compliant system) stratified for gestation groups and centers. The allocation code will be 277 disclosed after the patient's initials will be confirmed. The investigators or the trial coordinator will 278 not have access to the randomization sequence. Neonatologists and pediatricians assessing the 279 children will not be aware of the allocated treatment. The study is open label since masking the 280 intervention is not possible.

282 Methods: Data collection, management and analysis

283 Data collection

- 284 The primary outcome measure "children's survival without neurodevelopmental disability at the age
- of 3 years" will be collected by the standardized screening questionnaire Ages & Stages
- 286 Questionnaire ASQ-3 (at 36 month of corrected age). The ASQ-3 is a parental questionnaire which
- is frequently used for screening developmental delay in problem solving, communication, fine- and
- gross motor skills and personal-social behaviour of preschool aged children^{27,28}. Additionally the
- results of a regular preventive examination at paediatrician for children aged 34-36 month (in
- 290 Germany Vorsorgeuntersuchung U7a or equivalent examination in Greece) are recorded.
- All data for the secondary endpoints will be routinely collected during the course of the pregnancy
- and birth in the data management system of the caregiving hospital.
- 293 All investigators will be trained in pessary application. Quality protocols will be submitted according
- to the Clara-Angela Foundation requirements for pessary placement.
- 295

Data management:

- 297 Impetus Trial will be conducted according to standard operating procedures (SOP) of the Sponsor.
- 298 These SOPs describe the processes during planning, conduct and evaluation as well as the quality
- 299 management. It includes a predefined Data Management Plan (DMP), Data Validation Plan (DVP)
- 300 and statistical analysis plan (SAP) including a description how to handle missing or implausible
- 301 data. Source data verification will be performed in randomly selected centres (5%) as well as in
- 302 randomly selected patients (5%) N=35
- 303 An electronic archiving of all reports and documents will be done for at least 10 years.
- 304 All data will be collected and processed in standardized electronic case report forms (eCRF)
- 305 specially designed for Impetus Trial (=eCRF-Impetus). A feasibility assessment of the eCRF-
- 306 Impetus will be done before start of the RCT, regular electronic checks for completeness and
- 307 plausibility will be carried out. All AE reporting is done in eCRF. Castor EDC²⁶ is the Impetus eCRF
- 308 software/database which complies with all applicable laws and regulations (Good Clinical Practice,

309 21 CFR Part 11, EU Annex 11; General Data Protection Regulation, HIPAA (US) ISO 9001 and ISO

310 27001). Castor is a validated system and approved by external auditors.

311 Safety: All SAR/SAE/AE will be reported to Sponsor who will notify Landesärztekammer Hessen

312 Ethikkommission, all SAR/SAE (life-threatening or resulting in death) within 24 hours, all not life-

313 threatening AE within 15 days, all AE will be recorded.

314

315 Statistics

316 The primary statistical aim is to compare the primary combined outcome "children's long-term"

317 survival without neurodevelopmental disability at 3 years follow up" with a two-sided Cochran-

318 Mantel-Haenszel-Test and a significance level of alpha=0.05 using 3 strata according to week of

319 gestation. The primary outcome refers to a combined event in any of the twin and will be analyzed

320 for all pregnancies with available primary endpoint. The stratified study design is accounted by this

stratified test according to the gestation groups. Primary efficacy endpoint will be analyzed for all
 pregnancies with available primary endpoint.

323 No imputation method is planned. Nevertheless, to account for attrition bias, group allocations in

324 this patient cohort with available primary endpoint will base on an intention to treat basis and with a

325 per protocol allocation as sensitivity analysis.

326 The main statistical evaluation will be performed at two time points. (1) The complete data set for 327 the secondary endpoints will be available after the last women enrolled in this study has delivered 328 her twins, so the analysis of these outcome parameter will be done right after this event. (2) The 329 primary outcome will be evaluated 3 years after the last woman enrolled in this study has delivered 330 her twins. A descriptive analysis by preterm birth will be carried out calculating means and medians 331 for quantitative variables and proportions with 95% confidence intervals for categorical variables. In 332 general, statistical comparisons with the pessary arm and the control arms or other group 333 comparisons for primary and secondary outcomes will be performed with stratified tests as well as 334 comparisons in the gestation subgroups. Events will be analyzed for each twin and for single 335 children assuming appropriate random effect regression models. Further subgroup analyses regarding the cervical length will be performed (e.g. CL 15 to 25mm and below 15mm). All tests will
be two-sided using a significance level of alpha=0.05.

The secondary outcomes comprise preterm birth rates, need for hospitalization before birth, maternal adverse events, pessary intolerance vaginal infections and fetal / neonatal death as well as neonatal morbidity will be analysed with Cochran-Mantel-Haenszel Tests and Chi-Square Tests; time to birth will be analysed with cox regression. For the secondary outcomes birthweight, days of hospitalisation (neonates) van Elteren Tests and Wilcoxon-Mann-Whitney Tests will be used. For the primary endpoint, we expect to have a dropout rate of up to 25% due to the long follow-up time (3 years) of the study based on ProTwin*Kids*²⁵; but we do not expect to have lost data for the

secondary endpoints because for these parameters the study has a short follow-up time till time tobirth only.

347

348 Interim analysis

After birth of 300 twins the key safety parameters \cdot rate of preterm birth \leq 32WoG \cdot death before discharge \cdot rate of SAR/SAE \cdot maternal death will be assessed by a one-sided test with alpha=2,5%. The trial will be terminated as negative if a disadvantage for the pessary-treatment can be found in one of these tests. To guarantee a high safety level the significance level is chosen more conservatively than in a Bonferroni correction.

354

355 **Discussion**

Cervical pessary treatment is a non-invasive, well-tolerated and cost-effective treatment option¹⁷ which could be easily implemented in daily practice. But to establish evidence based therapeutic guidelines on secondary prevention of sPTB concerning the use of cervical pessaries the up to now existing database is not sufficient. Concerning the short-term children's outcome a significant positive effect of cervical pessary treatment in twin pregnancies is described in 2 RCT's ^{17,18} (N=267) but in the RCT of Nicolaides et al.¹⁹ (N=214) no significant effect was reported.

Up to now only the ProTwinKids Trial²⁵ (N children: 157 pessary group/ 111 control group) 362 363 evaluated the long-term children's outcome concerning cervical pessary treatment for the 364 prevention of sPTB. In clinical registries, no RCT's were published covering this important aspect; 365 so the data of the Impetus Trial (N children=1000) are clearly needed. Concerning the pessary 366 effect on sPTB and maternal outcome the data of more than 650 multiple gestations will help to 367 establish the role of cervical pessaries and more than 1000 children will clarify the short (>1350 368 neonates) and long term (min. 1000 children 3 years corrected age) effect of a pessary treatment in 369 twin pregnancies with a short cervix at three stages of the twin pregnancy (early 16-20 WoG; middle 370 20-24 WoG and late 24-28 WoG).

371 The results of the Impetus trial are needed to establish evidence based therapeutic guidelines for 372 the prevention of PTB in twin pregnancies with shortened cervix and investigate the efficacy of 373 pessary placement as soon as cervical shortening occurs by recruiting patients in three groups (see 374 above; early, middle and late placement) after serial measurements of cervical length through 375 transvaginal ultrasound. This RCT is a part of the first worldwide prospective meta-analysis in twin 376 pregnancies called "the prospective meta-analyses of pessary trials" (PROMPT). This project is in 377 line with the requirements of the Global Obstetrics Network²⁰ (GONet) summarizing registered 378 RCTs in twin pregnancies all using CORE³ outcome parameters.

379

380 Abbreviations

381 PTB: Preterm Birth; RCT: Randomized Controlled Trial; WoG: Week of Gestation; CL: Cervical

382 length; US: ultrasonographic scan; ASQ-3: Ages and Stages Questionnaire-3 (36 month), eCRF:

383 electronic Case Report Form; EC: Ethics Committee; SAR/SAE: Serious Adverse Reaction/ Serious

- 384 Adverse Event, AE: Adverse Event
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390 Ethics approval and consent to participate

391 The sponsor, participating centers and investigators ensure that this study will be conducted in 392 accordance with the protocol, the principles of the Declaration of Helsinki, ICH Guidelines for Good 393 Clinical Practice and in full conformity with relevant regulations as well as applicable national laws 394 and in accordance with regulations and guidelines applicable to clinical trials relating to medical 395 devices. The protocol, informed consent form, participant information sheet and any applicable 396 documents were submitted to the reference Ethics Committee (Ethik-Kommission der 397 Landesärztekammer Hessen, Frankfut/M, Germany) (EC) and written approval has been obtained 398 (Reference number FF 34/2017). All substantial amendments to the originally approved documents 399 will also be sent to the respective authorities for approval. All participating centers will file their own 400 EC approval. Ethic approvals of the participating cites in Greece are not yet available as the 401 Impetus trial is not yet recruiting. Per study site the study will not begin until the approval of the EC 402 and their director's consent will be obtained.

403

404 **Consent for publication**

405 Provided.

406

407 Availability of data and material

All data will be recorded in an eCRF; the database is located on a website so it can be accessed worldwide by the participating centres. Every centre will receive a password and a username in order to access the database for recruitment, randomization and documentation of patient data. Hereby, a personal identification number will be assigned for every patient. Patient names will not appear in the databases. Every participating centre will have its own randomisation list.

413 All data collected and prepared in the context of the study shall be the property of the Sponsor,

414 provided that participating center shall remain the owner of its source data.

415

416 **Competing interests**

417 The authors declare that they have no competing interests

418 **Funding**

We applied for a governmental fund at *Deutsche Forschungsgesellschaft* (DFG) in August 2017. An approval for the monetary funding is pending. The DFG is the central self-governing research funding organisation in Germany, so no competing interests are to be expected.

422

423 Authors' contributions

424 IK from Frankfurt/M conceived the study and participated in its design and coordination. EH from 425 Frankfurt compiled the statistics and power analysis. All authors will participate in the acquisition of 426 data. All authors read and approved the final manuscript. BWM will coordinate the data monitoring 427 committee.

428

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430 We thank Prof. Dr. Ben Willem Mol (University of Adelaide, Australia), Prof. Dr. Dr. Birgit Arabin

431 (Philipps-University of Marburg and Clara Angela Foundation, Germany) and Prof. Dr. Zarko

432 Alfirevic (University of Liverpool, UK) for reviewing the trial protocol. We acknowledge the

433 contribution of the Clara-Angela Foundation to support international collaboration.

434

435 **Study status**

436 The study is not yet recruiting.

437 **Related articles**

438 No publications have been submitted or published so far.

439 **Dissemination policy**

440 In accord with the participating centres the publication of the results is planned for the impact of

441 Cervical Pessary Treatment for Prevention of Preterm Birth in Twin Pregnancies with Cervical

442 Shortening on the prevention of preterm birth and the short-term outcome of the children (secondary

443 endpoints) and after availability of the data of the children's long-term survival without

444 neurodevelopmental disability (primary endpoint) these will be published as well.

445 **Sponsor Information**

446	Bürgerhospital und Clementine Kinderhospital gGmbH, Frankfurt/M, Germany, CEO Wolfgang Heyl,
447	Nibelungenallee 37-41, 60318 Frankfurt am Main, Germany, Tel: +49 69 1500 0
448	The initiating study center assumes the role of the sponsor and provides the study coordinator and
449	principal investigator, no competing interests are to be expected. The sponsor is not associated in
450	any possible way with the intervention used for this study.
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